Christopher R. Browning

"Ordinary Men"

In the very early hours of July 13, 1942, the men of Reserve Police Battalion 101 were roused from their bunks in the large brick school building that served as their barracks in the Polish town of Bilgoraj. They were middle-aged family men of working- and lower-middle-class background from the city of Hamburg. Considered too old to be of use to the German army, they had been drafted instead into the Order Police. Most were raw recruits with no previous experience in German occupied territory. They had arrived in Poland less than three weeks earlier.

It was still quite dark as the men climbed into the waiting trucks. Each policeman had been given extra ammunition, and additional boxes had been loaded onto the trucks as well. They were headed for their first major action, though the men had not yet been told what to expect.

The convoy of battalion trucks moved out of Bilgoraj in the dark, heading eastward on a jarring washboard gravel road. The pace was slow, and it took an hour and a half to two hours to arrive at the destination — the village of Józefów — a mere thirty kilometers away. Just as the sky was beginning to lighten, the convoy halted outside Józefów. It was a typical Polish village of modest white houses with thatched straw roofs. Among its inhabitants were 1,800 Jews.

The village was totally quiet. The men of Reserve Police Battalion 101 climbed down from their trucks and assembled in a half-circle around their commander, Major Wilhelm Trapp, a fifty-three-year-old career policeman affectionately known by his men as "Papa Trapp." The time had come for Trapp to address the men and inform them of the assignment the battalion had received.

Pale and nervous, with choking voice and tears in his eyes, Trapp visibly fought to control himself as he spoke. The battalion, he said plaintively, had to perform a frightfully unpleasant task. This assignment was not to his liking, indeed it was highly regrettable, but the orders came from the highest authorities. If it would make their task any easier, the

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men should remember that in Germany the bombs were falling on women and children.

He then turned to the matter at hand. The Jews had instigated the American Boycott that had damaged Germany, one policeman remembered Trapp saying. There were Jews in the village of Józefów who were involved with the partisans, he explained according to two others. The battalion had now been ordered to round up these Jews. The male Jews of working age were to be separated and taken to a work camp. The remaining Jews — the women, children, and elderly — were to be shot on the spot by the battalion. Having explained what awaited his men, Trapp then made an extraordinary offer: if any of the older men among them did not feel up to the task that lay before him, he could step out. . . . [Some members of the battalion rounded up three hundred able-bodied Jewish men for shipment to a slave labor camp. Other members systematically murdered the remaining Jews.]

When Trapp first made his offer early in the morning, the real nature of the action had just been announced and time to think and react had been very short. Only a dozen men had instinctively seized the moment to step out, turn in their rifles, and thus excuse themselves from the subsequent killing. For many the reality of what they were about to do, and particularly that they themselves might be chosen for the firing squad, had probably not sunk in. But when the men of First Company were summoned to the marketplace, instructed in giving a "neck shot," and sent to the woods to kill Jews, some of them tried to make up for the opportunity they had missed earlier. One policeman approached First Sergeant Kammer, whom he knew well. He confessed that the task was "repugnant" to him and asked for a different assignment. Kammer obliged, assigning him to guard duty on the edge of the forest, where he remained throughout the day. Several other policemen who knew Kammer well were given guard duty along the truck route. After shooting for some time, another group of policemen approached Kammer and said they could not continue. He released them from the firing squad and reassigned them to accompany the trucks. . . .

With the constant coming and going from the trucks, the wild terrain, and the frequent rotation, the men did not remain in fixed groups. The confusion created the opportunity for work slowdown and evasion. Some men who hurried at their task shot far more Jews than others who delayed as much as they could. After two rounds one policeman simply

"slipped off" and stayed among the trucks on the edge of the forest. Another managed to avoid taking his turn with the shooters altogether.

It was in no way the case that those who did not want to or could not carry out the shooting of human beings with their own hands could not keep themselves out of this task. No strict control was being carried out here. I therefore remained by the arriving trucks and kept myself busy at the arrival point. In any case I gave my activity such an appearance. It could not be avoided that one or another of my comrades noticed that I was not going to the executions to fire away at the victims. They showered me with remarks such as "shithead" and "weakling" to express their disgust. But I suffered no consequences for my actions. I must mention here that I was not the only one who kept himself out of participating in the executions. . . .

For his first victim August Zorn was given a very old man. Zorn recalled that his elderly victim

could not or would not keep up with his countrymen, because he repeatedly fell and then simply lay there. I regularly had to lift him up and drag him forward. Thus, I had only reached the execution site when my comrades had already shot their Jews. At the sight of his countrymen who had been shot, my Jew threw himself on the ground and remained lying there. I then cocked my carbine and shot him through the back of the head. Because I was already very upset from the cruel treatment of the Jews during the clearing of the town and was completely in turmoil, I shot too high. The entire back of the skull of my Jew was torn off and the brain exposed. Parts of the skull flew into Sergeant Steinmetz's face. This was grounds for me, after returning to the truck, to go to the first sergeant and ask for my release. I had become so sick that I simply couldn't anymore. I was then relieved by the first sergeant.

When the men arrived at the barracks in Bilgoraj, they were depressed, angered, embittered, and shaken. They ate little but drank heavily. Generous quantities of alcohol were provided, and many of the policemen got quite drunk. Major Trapp made the rounds, trying to console and reassure them, and again placing the responsibility on higher authorities. But neither the drink nor Trapp's consolation could wash away the sense of shame and horror that pervaded the barracks. Trapp asked the men not to talk about it, but they needed no encouragement in that direction. Those who had not been there likewise had

no desire to speak, either then or later. By silent consensus within Reserve Police Battalion 101, the Józefów massacre was simply not discussed. "The entire matter was a taboo." But repression during waking hours could not stop the nightmares. During the first night back from Józefów, one policeman awoke firing his gun into the ceiling of the barracks. . . .

The resentment and bitterness in the battalion over what they had been asked to do in Józefów was shared by virtually everyone, even those who had shot the entire day. The exclamation of one policeman to First Sergeant Kammer of First Company that "I'd go crazy if I had to do that again" expressed the sentiments of many. But only a few went beyond complaining to extricate themselves from such a possibility. Several of the older men with very large families took advantage of a regulation that required them to sign a release agreeing to duty in a combat area. One who had not yet signed refused to do so; another rescinded his signature. Both were eventually transferred back to Germany. The most dramatic response was again that of Lieutenant Buchmann, who asked Trapp to have him transferred back to Hamburg and declared that short of a direct personal order from Trapp, he would not take part in Jewish actions. In the end he wrote to Hamburg, explicitly requesting a recall because he was not "suited" to certain tasks "alien to the police" that were being carried out by his unit in Poland. Buchmann had to wait until November, but his efforts to be transferred were ultimately successful. . . .

In subsequent actions two vital changes were introduced and henceforth — with some notable exceptions — adhered to. First, most of the future operations of Reserve Police Battalion 101 involved ghetto clearing and deportation, not outright massacre on the spot. The policemen were thus relieved of the immediate horror of the killing process, which (for deportees from the northern Lublin district) was carried out in the extermination camp at Treblinka. Second, while deportation was a horrifying procedure characterized by the terrible coercive violence needed to drive people onto the death trains as well as the systematic killing of those who could not be marched to the trains, these actions were generally undertaken jointly by units of Reserve Police Battalion 101 and the Trawnikis, SS-trained auxiliaries from Soviet territories, recruited from the POW camps and usually assigned the very worst parts of the ghetto clearing and deportation. . . .

When the time came to kill again, the policemen did not "go crazy." Instead they became increasingly efficient and calloused executioners. . . .

With a conservative estimate of 6,500 Jews shot during earlier actions like those at Józefów and Lomazy and 1,000 shot during the "Jew hunts," and a minimum estimate of 30,500 Jews shot at Majdanek and Poniatowa, the battalion had participated in the direct shooting deaths of at least 38,000 Jews. With the death camp deportation of at least 3,000 Jews from Międzyrzec in early May 1943, the number of Jews they had placed on trains to Treblinka had risen to 45,000. For a battalion of less then 500 men, the ultimate body count was at least 83,000 Jews. . . .

Why did most men in Reserve Police Battalion 101 become killers, while only a minority of perhaps 10 percent - and certainly no more than 20 percent - did not? A number of explanations have been invoked in the past to explain such behavior: wartime brutalization, racism, segmentation and routinization of the task, special selection of the perpetrators, careerism, obedience to orders, deference to authority, ideological indoctrination, and conformity. These factors are applicable in varying degrees, but none without qualification. . . .

War, and especially race war, leads to brutalization, which leads to atrocity. . . . Except for a few of the oldest men who were veterans of World War I, and a few NCOs who had been transferred to Poland from Russia, the men of the battalion had not seen battle or encountered a deadly enemy. Most of them had not fired a shot in anger or ever been fired on, much less lost comrades fighting at their side. Thus, wartime brutalization through prior combat was not an immediate experience directly influencing the policemen's behavior at Józefów. Once the killing began, however, the men became increasingly brutalized. As in combat, the horrors of the initial encounter eventually became routine, and the killing became progressively easier. In this sense, brutalization was not the cause but the effect of these men's behavior. . . .

To what degree, if any, did the men of Reserve Police Battalion 101 represent a process of special selection for the particular task of implementing the Final Solution? . . . By age, geographical origin, and social background, the men of Reserve Police Battalion 101 were least likely to be considered apt material out of which to mold future mass killers.

On the basis of these criteria, the rank and file — middle-aged, mostly working-class, from Hamburg — did not represent special selection or even random selection but for all practical purposes negative selection for the task at hand. . . . Reserve Police Battalion 101 was not sent to Lublin to murder Jews because it was composed of men specially selected or deemed particularly suited for the task. On the contrary, the battalion was the "dregs" of the manpower pool available at that stage of the war. It was employed to kill Jews because it was the only kind of unit available for such behind-the-lines duties. Most likely, Globocnik simply assumed as a matter of course that whatever battalion came his way would be up to this murderous task, regardless of its composition. If so, he may have been disappointed in the immediate aftermath of Józefów, but in the long run events proved him correct. . . .

Those who emphasize the relative or absolute importance of situational factors over individual psychological characteristics invariably point to Philip Zimbardo's Stanford prison experiment. Screening out everyone who scored beyond the normal range on a battery of psychological tests, including one that measured "rigid adherence to conventional values and a submissive, uncritical attitude toward authority" (i.e., the F-scale for the "authoritarian personality"), Zimbardo randomly divided his homogeneous "normal" test group into guards and prisoners and placed them in a simulated prison. Though outright physical violence was barred, within six days the inherent structure of prison life — in which guards operating on three-man shifts had to devise ways of controlling the more numerous prisoner population had produced rapidly escalating brutality, humiliation, and dehumanization. "Most dramatic and distressing to us was the observation of the ease with which sadistic behavior could be elicited in individuals who were not 'sadistic types'." The prison situation alone, Zimbardo concluded, was "a sufficient condition to produce aberrant, anti-social behavior."

Perhaps most relevant to this study of Reserve Police Battalion 101 is the spectrum of behavior that Zimbardo discovered in his sample of eleven guards. About one-third emerged as "cruel and tough." They constantly invented new forms of harassment and enjoyed their newfound power to behave cruelly and arbitrarily. A middle group of guards was "tough but fair." They "played by the rules" and did not go out of their way to mistreat prisoners. Only two (i.e., less than 20 percent)

emerged as "good guards" who did not punish prisoners and even did small favors for them.

Zimbardo's spectrum of guard behavior bears an uncanny resemblance to the groupings that emerged within Reserve Police Battalion 101: a nucleus of increasingly enthusiastic killers who volunteered for the firing squads and "Jew Hunts"; a larger group of policemen who performed as shooters and ghetto clearers when assigned but who did not seek opportunities to kill (and in some cases refrained from killing, contrary to standing orders, when no one was monitoring their actions); and a small group (less than 20 percent) of refusers and evaders. . . .

If obedience to orders out of fear of dire punishment is not a valid explanation, what about "obedience to authority" in the more general sense used by Stanley Milgram — deference simply as a product of socialization and evolution, a "deeply ingrained behavior tendency" to comply with the directives of those positioned hierarchically above, even to the point of performing repugnant actions in violation of "universally accepted" moral norms. In a series of now famous experiments, Milgram tested the individual's ability to resist authority that was not backed by any external coercive threat. Naive volunteer subjects were instructed by a "scientific authority" in an alleged learning experiment to inflict an escalating series of fake electric shocks upon an actor/victim, who responded with carefully programmed "voice feedback" — an escalating series of complaints, cries of pain, calls for help, and finally fateful silence. In the standard voice feedback experiment, two-thirds of Milgram's subjects were "obedient" to the point of inflicting extreme pain.

Several variations on the experiment produced significantly different results. If the actor/victim was shielded so that the subject could hear and see no response, obedience was much greater. If the subject had both visual and voice feedback, compliance to the extreme fell to 40 percent. If the subject had to touch the actor/victim physically by forcing his hand onto an electric plate to deliver the shocks, obedience dropped to 30 percent. If a nonauthority figure gave orders, obedience was nil. If the naive subject performed a subsidiary or accessory task but did not personally inflict the electric shocks, obedience was nearly total. In contrast, if the subject was part of an actor/peer group that staged a carefully planned refusal to continue following the directions of the authority figure, the vast majority of subjects (90 percent) joined their peer group and desisted as well. If the subject was given complete discretion as

to the level of electric shock to administer, all but a few sadists consistently delivered a minimal shock. When not under the direct surveillance of the scientist, many of the subjects "cheated" by giving lower shocks than prescribed, even though they were unable to confront authority and abandon the experiment.

Milgram adduced a number of factors to account for such an unexpectedly high degree of potentially murderous obedience to a noncoercive authority. An evolutionary bias favors the survival of people who can adapt to hierarchical situations and organized social activity. Socialization through family, school, and military service, as well as a whole array of rewards and punishments within society generally, reinforces and internalizes a tendency toward obedience. A seemingly voluntary entry into an authority system "perceived" as legitimate creates a strong sense of obligation. Those within the hierarchy adopt the authority's perspective or "definition of the situation" (in this case, as an important scientific experiment rather than the infliction of physical torture). The notions of "loyalty, duty, discipline," requiring competent performance in the eyes of authority, become moral imperatives overriding any identification with the victim. Normal individuals enter an "agentic state" in which they are the instrument of another's will. In such a state, they no longer feel personally responsible for the content of their actions but only for how well they perform.

Once entangled, people encounter a series of "binding factors" or "cementing mechanisms" that make disobedience or refusal even more difficult. The momentum of the process discourages any new or contrary initiative. The "situational obligation" or etiquette makes refusal appear improper, rude, or even an immoral breach of obligation. And a socialized anxiety over potential punishment for disobedience acts as a further deterrent.

Milgram made direct reference to the similarities between human behavior in his experiments and under the Nazi regime. He concluded, "Men are led to kill with little difficulty." Milgram was aware of significant differences in the two situations, however. Quite explicitly he acknowledged that the subjects of his experiments were assured that no permanent physical damage would result from their actions. The subjects were under no threat or duress themselves. And finally, the actor/victims were not the object of "intense devaluation" through systematic indoctrination of the subjects. In contrast, the killers of the Third Reich lived in a police state where the consequences of disobedience could be drastic

and they were subjected to intense indoctrination, but they also knew they were not only inflicting pain but destroying human life.

Was the massacre at Józefów a kind of radical Milgram experiment that took place in a Polish forest with real killers and victims rather than in a social psychology laboratory with naive subjects and actor/victims? Are the actions of Reserve Police Battalion 101 explained by Milgram's observations and conclusions? There are some difficulties in explaining Józefów as a case of deference to authority, for none of Milgram's experimental variations exactly paralleled the historical situation at Józefów, and the relevant differences constitute too many variables to draw firm conclusions in any scientific sense. Nonetheless, many of Milgram's insights find graphic confirmation in the behavior and testimony of the men of Reserve Police Battalion 101.

At Józefów the authority system to which the men were responding was quite complex, unlike the laboratory situation. Major Trapp represented not a strong but a very weak authority figure. He weepingly conceded the frightful nature of the task at hand and invited the older reserve policemen to excuse themselves. If Trapp was a weak immediate authority figure, he did invoke a more distant system of authority that was anything but weak. The orders for the massacre had been received from the highest quarter, he said. Trapp himself and the battalion as a unit were bound by the orders of this distant authority, even if Trapp's concern for his men exempted individual policemen.

To what were the vast majority of Trapp's men responding when they did not step out? Was it to authority as represented either by Trapp or his superiors? Were they responding to Trapp not primarily as an authority figure, but as an individual — a popular and beloved officer whom they would not leave in the lurch? And what about other factors? Milgram himself notes that people far more frequently invoke authority than conformity to explain their behavior, for only the former seems to absolve them of personal responsibility. "Subjects deny conformity and *embrace* obedience as the explanation of their actions." Yet many policemen admitted responding to the pressures of conformity — how would they be seen in the eyes of their comrades? — not authority. On Milgram's own view, such admission was the tip of the iceberg, and this factor must have been even more important than the men conceded in their testimony. If so, conformity assumes a more central role than authority at Józefów.

Milgram tested the effects of peer pressure in bolstering the individual's capacity to resist authority. When actor/collaborators bolted, the

naive subjects found it much easier to follow. Milgram also attempted to test for the reverse, that is, the role of conformity in intensifying the capacity to inflict pain. Three subjects, two collaborators and one naive, were instructed by the scientist/authority figure to inflict pain at the lowest level anyone among them proposed. When a naive subject acting alone had been given full discretion to set the level of electric shock, the subject had almost invariably inflicted minimal pain. But when the two collaborators, always going first, proposed a step-by-step escalation of electric shock, the naive subject was significantly influenced. Though the individual variation was wide, the average result was the selection of a level of electric shock halfway between no increase and a consistent step-by-step increase. This is still short of a test of peer pressure as compensation for the deficiencies of weak authority. There was no weeping but beloved scientist inviting subjects to leave the electric shock panel while other men - with whom the subjects had comradely relations and before whom they would feel compelled to appear manly and tough - stayed and continued to inflict painful shocks. Indeed, it would be almost impossible to construct an experiment to test such a scenario, which would require true comradely relations between a naive subject and the actor/collaborators. Nonetheless, the mutual reinforcement of authority and conformity seems to have been clearly demonstrated by Milgram.

If the multifaceted nature of authority at Józefów and the key role of conformity among the policemen are not quite parallel to Milgram's experiments, they nonetheless render considerable support to his conclusions, and some of his observations are clearly confirmed. Direct proximity to the horror of the killing significantly increased the number of men who would no longer comply. On the other hand, with the division of labor and removal of the killing process to the death camps, the men felt scarcely any responsibility at all for their actions. As in Milgram's experiment without direct surveillance, many policemen did not comply with orders when not directly supervised; they mitigated their behavior when they could do so without personal risk but were unable to refuse participation in the battalion's killing operations openly.

One factor that admittedly was not the focal point of Milgram's experiments, indoctrination, and another that was only partially touched upon, conformity, require further investigation. Milgram did stipulate "definition of the situation" or ideology, that which gives meaning and coherence to the social occasion, as a crucial antecedent of deference to

authority. Controlling the manner in which people interpret their world is one way to control behavior, Milgram argues. If they accept authority's ideology, action follows logically and willingly. Hence "ideological justification is vital in obtaining willing obedience, for it permits the person to see his behavior as serving a desirable end."

In Milgram's experiments, "overarching ideological justification" was present in the form of a tacit and unquestioned faith in the goodness of science and its contribution to progress. But there was no systematic attempt to "devalue" the actor/victim or inculcate the subject with a particular ideology. Milgram hypothesized that the more destructive behavior of people in Nazi Germany, under much less direct surveillance, was a consequence of an internalization of authority achieved "through relatively long processes of indoctrination, of a sort not possible within the course of a laboratory hour."

To what degree, then, did the conscious inculcation of Nazi doctrines shape the behavior of the men of Reserve Police Battalion 101? Were they subjected to such a barrage of clever and insidious propaganda that they lost the capacity for independent thought and responsible action? Were devaluation of the Jews and exhortations to kill them central to this indoctrination? . . .

[T]he men of Reserve Police Battalion 101, like the rest of German society, were immersed in a deluge of racist and anti-Semitic propaganda. Furthermore, the Order Police provided for indoctrination both in basic training and as an ongoing practice within each unit. Such incessant propagandizing must have had considerable effect in reinforcing general notions of Germanic racial superiority and "a certain aversion" toward the Jews. However, much of the indoctrination material was clearly not targeted at older reservists and in some cases was highly inappropriate or irrelevant to them. And material specifically designed to harden the policemen for the personal task of killing Jews is conspicuously absent from the surviving documentation. One would have to be quite convinced of the manipulative powers of indoctrination to believe that any of this material could have deprived the men of Reserve Police Battalion 101 of the capacity for independent thought. Influenced and conditioned in a general way, imbued in particular with a sense of their own superiority and racial kinship as well as Jewish inferiority and otherness, many of them undoubtedly were; explicitly prepared for the task of killing Jews they most certainly were not.

Along with ideological indoctrination, a vital factor touched upon but not fully explored in Milgram's experiments was conformity to the group. The battalion had orders to kill Jews, but each individual did not. Yet 80 to 90 percent of the men proceeded to kill, though almost all of them — at least initially — were horrified and disgusted by what they were doing. To break ranks and step out, to adopt overtly nonconformist behavior, was simply beyond most of the men. It was easier for them to shoot.

Why? First of all, by breaking ranks, nonshooters were leaving the "dirty work" to their comrades. Since the battalion had to shoot even if individuals did not, refusing to shoot constituted refusing one's share of an unpleasant collective obligation. It was in effect an asocial act vis-à-vis one's comrades. Those who did not shoot risked isolation, rejection, and ostracism — a very uncomfortable prospect within the framework of a tight-knit unit stationed abroad among a hostile population, so that the individual had virtually nowhere else to turn for support and social contact.

This threat of isolation was intensified by the fact that stepping out could also have been seen as a form of moral reproach of one's comrades: the nonshooter was potentially indicating that he was "too good" to do such things. Most, though not all, nonshooters intuitively tried to diffuse the criticism of their comrades that was inherent in their actions. They pleaded not that they were "too good" but rather that they were "too weak" to kill.

Such a stance presented no challenge to the esteem of one's comrades; on the contrary, it legitimized and upheld "toughness" as a superior quality. For the anxious individual, it had the added advantage of posing no moral challenge to the murderous policies of the regime, though it did pose another problem, since the difference between being "weak" and being a "coward" was not great. Hence the distinction made by one policeman who did not dare to step out at Józefów for fear of being considered a coward, but who subsequently dropped out of his firing squad. It was one thing to be too cowardly even to try to kill; it was another, after resolutely trying to do one's share, to be too weak to continue.

Insidiously, therefore, most of those who did not shoot only reaffirmed the "macho" values of the majority — according to which it was a positive quality to be "tough" enough to kill unarmed, noncombatant men, women, and children — and tried not to rupture the bonds of comradeship that constituted their social world. Coping with the contradictions

imposed by the demands of conscience on the one hand and the norms of the battalion on the other led to many tortured attempts at compromise: not shooting infants on the spot but taking them to the assembly point; not shooting on patrol if no "go-getter" was along who might report such squeamishness; bringing Jews to the shooting site and firing but intentionally missing. Only the very exceptional remained indifferent to taunts of "weakling" from their comrades and could live with the fact that they were considered to be "no man."

Here we come full circle to the mutually intensifying effects of war and racism noted by John Dower, in conjunction with the insidious effects of constant propaganda and indoctrination. Pervasive racism and the resulting exclusion of the Jewish victims from any common ground with the perpetrators made it all the easier for the majority of the policemen to conform to the norms of their immediate community (the battalion) and their society at large (Nazi Germany). Here the years of anti-Semitic propaganda (and prior to the Nazi dictatorship, decades of shrill German nationalism) dovetailed with the polarizing effects of war. The dichotomy of racially superior Germans and racially inferior Jews, central to Nazi ideology, could easily merge with the image of a beleaguered Germany surrounded by warring enemies. If it is doubtful that most of the policemen understood or embraced the theoretical aspects of Nazi ideology as contained in SS indoctrination pamphlets, it is also doubtful that they were immune to "the influence of the times" (to use Lieutenant Drucker's phrase once again), to the incessant proclamation of German superiority and incitement of contempt and hatred for the Jewish enemy. Nothing helped the Nazis to wage a race war so much as the war itself. In wartime, when it was all too usual to exclude the enemy from the community of human obligation, it was also all too easy to subsume the Jews into the "image of the enemy," or Feindbild.

In his last book, *The Drowned and the Saved*, Primo Levi included an essay entitled "The Gray Zone," perhaps his most profound and deeply disturbing reflection on the Holocaust. He maintained that in spite of our natural desire for clear-cut distinctions, the history of the camps "could not be reduced to the two blocs of victims and persecutors." He argued passionately, "It is naive, absurd, and historically false to believe that an infernal system such as National Socialism sanctifies its victims; on the contrary, it degrades them, it makes them resemble itself." The time had come to examine the inhabitants of the "gray zone" between the simplified Manichean images of perpetrator and victim.

Levi concentrated on the "gray zone of protekcya [corruption] and collaboration" that flourished in the camps among a spectrum of victims: from the "picturesque fauna" of low-ranking functionaries husbanding their miniscule advantages over other prisoners; through the truly privileged network of Kapos, who were free "to commit the worst atrocities" at whim; to the terrible fate of the Sonderkommandos, who prolonged their lives by manning the gas chambers and crematoria. (Conceiving and organizing the Sonderkommandos was in Levi's opinion National Socialism's "most demonic crime.")

While Levi focused on the spectrum of victim behavior within the gray zone, he dared to suggest that this zone encompassed perpetrators as well. Even the SS man Muhsfeld of the Birkenau crematoria — whose "daily ration of slaughter was studded with arbitrary and capricious acts, marked by his inventions of cruelty" — was not a "monolith." Faced with the miraculous survival of a sixteen-year-old girl discovered while the gas chambers were being cleared, the disconcerted Muhsfeld briefly hesitated. In the end he ordered the girl's death but quickly left before his orders were carried out. One "instant of pity" was not enough to "absolve" Muhsfeld, who was deservedly hanged in 1947. Yet it did "place him too, although at its extreme boundary, within the gray band, that zone of ambiguity which radiates out from regimes based on terror and obsequiousness."

Levi's notion of the gray zone encompassing both perpetrators and victims must be approached with a cautious qualification. The perpetrators and victims in the gray zone were not mirror images of one another. Perpetrators did not become fellow victims (as many of them later claimed to be) in the way some victims became accomplices of the perpetrators. The relationship between perpetrator and victim was not symmetrical. The range of choice each faced was totally different.

Nonetheless, the spectrum of Levi's gray zone seems quite applicable to Reserve Police Battalion 101. The battalion certainly had its quota of men who neared the "extreme boundary" of the gray zone. Lieutenant Gnade, who initially rushed his men back from Minsk to avoid being involved in killing but who later learned to enjoy it, leaps to mind. So do the many reserve policemen who were horrified in the woods outside Józefów but subsequently became casual volunteers for numerous firing squads and "Jew hunts." They, like Muhsfeld, seem to have experienced the brief "instant of pity" but cannot be absolved by it. At the other boundary of the gray zone, even Lieutenant Buchmann,

the most conspicuous and outspoken critic of the battalion's murderous actions, faltered at least once. Absent his protector, Major Trapp, and facing orders from the local Security Police in Luków, he too led his men to the killing fields shortly before his transfer back to Hamburg. And at the very center of the perpetrators' gray zone stood the pathetic figure of Trapp himself, who sent his men to slaughter Jews "weeping like a child," and the bedridden Captain Hoffmann, whose body rebelled against the terrible deeds his mind willed.

The behavior of any human being is, of course, a very complex phenomenon, and the historian who attempts to "explain" it is indulging in a certain arrogance. When nearly 500 men are involved, to undertake any general explanation of their collective behavior is even more hazardous. What, then, is one to conclude? Most of all, one comes away from the story of Reserve Police Battalion 101 with great unease. This story of ordinary men is not the story of all men. The reserve policemen faced choices, and most of them committed terrible deeds. But those who killed cannot be absolved by the notion that anyone in the same situation would have done as they did. For even among them, some refused to kill and others stopped killing. Human responsibility is ultimately an individual matter.

At the same time, however, the collective behavior of Reserve Police Battalion 101 has deeply disturbing implications. There are many societies afflicted by traditions of racism and caught in the siege mentality of war or threat of war. Everywhere society conditions people to respect and defer to authority, and indeed could scarcely function otherwise. Everywhere people seek career advancement. In every modern society, the complexity of life and the resulting bureaucratization and specialization attenuate the sense of personal responsibility of those implementing official policy. Within virtually every social collective, the peer group exerts tremendous pressures on behavior and sets moral norms. If the men of Reserve Police Battalion 101 could become killers under such circumstances, what group of men cannot?